

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

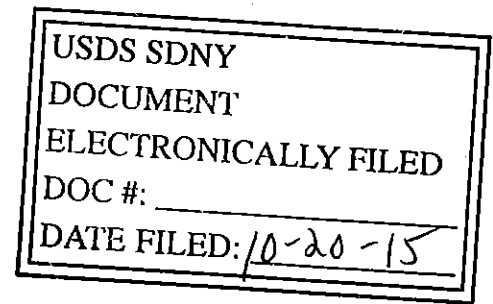
JOHN A. MATTERA, ET AL.,

Defendants,

- AND -

ANN A. MATTERA, ET AL.,

Relief Defendants.



11 Civ. 8323 (PKC)

ECF Case

**NOTICE OF DISMISSAL OF CLAIMS FOR DISGORGEMENT
AND CIVIL MONEY PENALTY AGAINST BRADFORD VAN SICLEN**

Pursuant to Rule 41(a)(1) of the Federal Rules of Civil Procedure, Plaintiff Securities and Exchange Commission voluntarily dismisses its claims for disgorgement, prejudgment interest, and a civil money penalty against defendant Bradford Van Siclen in view of the criminal sanctions imposed against him in the parallel criminal case, *United States v Bradford Van Siclen*, 12-cr-00005 (PKC) (S.D.N.Y.).

Dated: New York, New York
October 19, 2015

SO ORDERED:

A handwritten signature in black ink, appearing to be "J. M. ...", written over a horizontal line. Below the line, the text "U.S.D.J." is printed in bold. To the right of the signature, there is a small handwritten mark that looks like "rm".

U.S.D.J.